

## Investor Impact Statement (Cover Page)

Investor Impact Statement of: \_\_\_\_

(name of investor)

UPDATE

MORIGINAL

(date)

### CASE IDENTIFICATION

The Falls Capital Corp., Deercrest Construction Fund Inc., West Karma Ltd., and Rodney Jack Wharram

(name of the Respondent)

REFERENCE #: 2013 BCSECCOM 220

(date)

#### Please sign each page of your Investor Impact Statement, attach it to this page and email, mail, fax or bring it to:

British Columbia Securities Commission Enforcement Division PO Box 10142, Pacific Centre 701 West Georgia Street Vancouver, BC V7Y 1L2

email: ccolter@bcsc.bc.ca tel: 604-899-6761 fax: 604-899-6633

#### Commission policy requires that we disclose a copy of your Investor Impact Statement to Defence Counsel or to the Respondent. You may be required to testify about the contents of this document.

NOTE: No disclaimer anywhere on this page/or in the document. The hearing for this matter had not even began when Staff sent this document to the investors. Many investors were sent the Investor Impact Statements, along with links to the BCSC's press release where they alleged a false \$5.45 million fraud. Asking for a response at this time was fundamentally wrong at EVERY level.



The purpose of this form is to give you an opportunity to tell the Commission what impact, if any, the securities violation has had on you and your family or others affected. If the Commission finds the Respondent liable, we may give your Impact Statement to the panel for use in determining the kind of sanction to impose.

# **INVESTOR IMPACT STATEMENT OUTLINE**

In describing the effect the securities violation has had on you, you may wish to consider the following:

**1. Financial Impact -** What financial or property losses have resulted <u>from the offence?</u> Have your plans for yourself and/or your family's future been impacted? If so, please describe how.

NOTE: Here again, Staff include no disclaimer indicating the allegations have yet to be proven. And to enhance the emotions the receive back from investors, they call it a securities violation. Again, the Respondents had not even stepped foot into the hearing room and Staff are already calling it a securities violations. This is a travesty—the Respondents were entitled to a fair hearing but this is NOT the case with the BCSC's Kangaroo Court where the Judge, Jury and Executioner all work in the same building.

Signature of Investor

(If you need more space, please attach and sign additional pages)

Page No.\_\_\_\_



**2. Emotional Impact** - Has the offence affected your: emotions and reactions; lifestyle and activities; relationship with your partner, spouse, friends, family or colleagues; ability to work or study; or need for counselling or emotional support? Has the offence impacted your confidence in the financial markets? Has this experience changed your investment practices?



NOTE: Again, no disclaimer! Asking for an emotional response and calling it an offence on this page. The questions on this page illicit a strong emotional response only moments after the investors were told the Respondents committed a \$5.45 million fraud on them. This was false!

Signature of Investor

(If you need more space, please attach and sign additional pages)

Page No



**3. Other Comments or Concerns -** Are there other impacts not yet covered? Please list other ways your life may have changed.

Signature of Investor